



MSPO PUBLIC SUMMARY REPORT

**MS 2530-3: 2013 GENERAL PRINCIPLES FOR PLANTATIONS
AND ORGANIZED SMALLHOLDERS**

COMPANY NAME: Pan Estate Sdn. Bhd.

TYPE OF AUDIT: Surveillance Audit 1

DATE: 22/11/2022

UNITED GLOBAL GREEN SDN. BHD.

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	MSPO Certification Summary Report	
	Company Name	Pan Estate Sdn. Bhd.
	Certifying Unit	Pan Estate Sdn. Bhd.
	Client Number	PESB/PLN/2022/ASA01
	Audit Type	Annual Surveillance Audit 01
	Status of Report	Final Report

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1 INTRODUCTION

Pan Estate Sdn Bhd was successfully conducted Surveillance 1 audit by United Global Green Sdn. Bhd. (UGGSB) according to MS 2530-3:2013 Part 3 General Principles for Oil Palm Plantations and Organized Smallholders.

1.1 Audit Objective

The objective of this Surveillance 1 Audit is to assess estates by an independent certification body with the aim for compliance of the standard as follows: MS 2530-3:2013 Part 3 General Principles for Oil Palm Plantations and Organized Smallholders.

1.2 Audit Scope and Audit Criteria

The certification is based on the effective implementation and documentation of the sustainability management system developed by the organization. The supporting documents are provided to the audit team as well as information received by means of interviews and on-site field observations.

The documents and information are reviewed against the requirements and criteria based on MSPO 2530-3:2013 Part 3 General Principles for Oil Palm Plantations and Organized Smallholders. UGGSB Malaysia has used a risk-based methodology to assess the compliance of the assessment and subsequent reporting.

Scope of Certification

Total Certified Area of Sustainable Oil Palm Plantations	479.10 Ha
Total Planted Area of Sustainable Oil Palm Plantations	477.73 Ha

List of Estate(s) selected

Name of Estate	Coordinates
Pan Estates Sdn. Bhd.	5.1902662°N, 118.2521800°E

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1.3 Appointment and Qualification of Auditors

NO	NAME	ROLE OF AUDITOR	DETAILS OF QUALIFICATION
1	Govindasamy a/I Munusamy	Lead Auditor	-AISP Diploma, Certificate in Human Resource Management, and master's in management. -Experienced as an Estate Manager, General Manager and Plantation Advisor in Oil Palm, Rubber, Coconut and Cocoa cultivation for 40 years. -Worked in East, West Malaysia and Indonesia. -Well versed in replanting and new planting. -President of Association of Agricultural Executives – Sabah since 1998. -Member of MSPO Technical Committee and Working Committee. -Able to communicate in English, Bahasa Malaysia, Indonesia, and Tamil.
2	Mohamad Zulkefli bin Md Isa	Auditor	- Bac Sc (Resource Economics), UPM. - Master's Degree in OSH Management, UTM. - More than 25 years since 1997, working experience in various sectors. - Experienced with the implementation, auditing, and training aspects of ISO, MSPO, RSPO, ISCC and SCCS for various CBs. - Possesses the Lead Auditor MSPO MS 2530:2013 by SIRIM in 2017. - International Certification and is currently a Lead Auditor for ISO 9001, ISO 14001, ISO 45001, MSPO among others. - Able to communicate in English and Bahasa Malaysia, among others.
3	Roni Paulis	Auditor	-Post graduate qualification in Bachelor at University Malaysia Sabah -9 years working experience as assistant Estate Manager -Fully trained in similar agriculture certification programmes such as RSPO, MSPO, ISCC, SCCS, CoPN

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			- Completed and certified MSPO Auditor Course in Aug 2018 conducted by OSH Isis - Attended Integrated Lead Auditor Course Quality and Environment Management System Requirement (ISO 9001:2015 & ISO 14001:2015) on Dec 2018 by Platinum Shauffmantz Veritas (PSV) - Obtained MSPO Supply Chain Certification Standard Auditor Course (SCCS) competency on June 2019 conducted by SIRIM STS - Completed and certified for Peer Reviewer Course endorsed by MPOCC on April 2019 - Director of MJR Rich Well Enterprise, Tawau, Sabah - Expertise in oil palm plantation management and good agriculture practice. Able to speak and understand in Bahasa Malaysia and English
4	Chong Tsu	Trainee Auditor	- Bachelor of Science in Computing - 5 years in Oil Palm Plantation Management - Director of Agri Bio Solution Sdn Bhd, Lahad Datu, Sabah - MSPO Lead Auditors course, ISO 9001 - Able to communicate in English, Bahasa Malaysia and Mandarin

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2 AUDIT METHODOLOGY

This **ASA 01** covers the following activities but not limited to the activities described below:

- On-site visits, observations and inspections of estate facilities and field activities.
- Interview operation personnel and field workers for understanding for the work assigned.
- Reviewed revised and updated documentation established and implemented.
- Operating records.
- Training records.
- Reports established.
- Work plans established.
- Stakeholders' consultation meeting.
- Review and closed out of non-conformance raise during stage 1 audit.
- Assessment reporting.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of stakeholders, communities, staff, workers and their families, review of documentation and data. Checklists and questionnaires were used to guide the collection of information. The comments made by external stakeholders are considered in the assessment.

For this audit selected estates were based on the formula $S = 0.8\sqrt{n}$ where S = sample size and n = number of estates which is in accordance with MSPO certification procedure. However, sampling of estates is not applicable for this audit as it is not a multi-site audit.

Based on the audit findings AOC (Area of Concern), Non-Conformance (NC) Major/Minor and Opportunity for Improvement (OFI) may be raised during the audit. Major Non-Conformances shall be addressed and responded within 90 days from closing date of audit. Implementation of Minor NC shall be reviewed and verified in the next surveillance audit, OFI shall be reviewed in the next audit.

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3 ORGANIZATION INFORMATION

3.1 Total Area Description

The certified estates are owned by

Scope of Audit Provision

Total Certified Area of Sustainable Oil Palm Plantations	479.10 Ha
Total Planted Area of Sustainable Oil Palm Plantations	477.73 Ha

Address and Location Details of the Estate(s)

Name of Estate	Location	Coordinates
Pan Estate Sdn. Bhd.	KM 21, Lebuhraya Lahad Datu Sandakan, Segama, Lahad Datu, Sabah.	5.1902662°N, 118.2521800°E

3.2 Production Data Description

Name of Estate	Area (Ha)		Projected FFB Production Jan 2022 to Dec 2022 (mt)
	Total	Production 2021 (mt)	
Pan Estates Sdn. Bhd.	477.73	5,208.47	5,500.00
Total	477.73	5,208.47	5,500.00

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4 CLIENT ORGANIZATION AND STAKEHOLDERS' DETAILS

4.1 Client Organization

AUDITED ORGANIZATION DETAILS

Organization Name	Pan Estates Sdn. Bhd.
Organization HQ/ Admin Office Location	MDLD 1948 Taman CL, Lahad Datu, Sabah.
Organization Estate Location	KM 21, Lebuhraya Lahad Datu-Sandakan, Segama, Lahad Datu, Sabah.

4.2 Stakeholders' Consultation and List of Interviewed Persons

United Global Green Sdn. Bhd. (UGGSB) has published the public notification on as to accommodate stakeholder's consultation meeting for to provide comments. As at audit date on there are no comments received.

Invitation letters are sent on 25/07/2022 to invite relevant stakeholders to attend a local stakeholders' consultation on ASA 02 to gather information from the local communities.

The topics of discussion are as below:

1. Introduction of MSPO certification.
2. Development of oil palm plantations.
3. Community service and support provided.
4. Wildlife management and wildlife corridor.
5. Type of wildlife sighted at the plantations and wildlife corridor.
6. Local communities' development.

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List of Interviewed Persons and Stakeholders

No	Name	Organization	Function/Position
1	Asnawaty Basri	Sungai Ruku Plantation Sdn Bhd	External
2	Kartini Bahri	Sungai Ruku Plantation Sdn Bhd	External
3	Siti Aisyah Tahang	Intan Sawit Enterprise	External
4	Shazeeeda Izwanie	Intan Sawit Enterprise	External
5	Daini Doronsoi	Erumas Estate	External
6	Frederic Samin	Erumas Estate	External
7	Suparman	Pan Estates Sdn Bhd	Internal
8	Arif	Pan Estates Sdn Bhd	Internal
9	Rullah	Pan Estates Sdn Bhd	Internal
10	Lisa	Pan Estates Sdn Bhd	Internal
11	Alwi	Pan Estates Sdn Bhd	Internal
12	Abu Bakar	Pan Estates Sdn Bhd	Internal
13	Jusran	Pan Estates Sdn Bhd	Internal
14	Mohd Samsul	Pan Estates Sdn Bhd	Internal

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Stakeholders Feedbacks as Applicable

Items	Subject Discussed	Audit Team Findings	Company Response and Proposed Action to be Taken
1	Information on MSPO	Satisfactory	Positive Feedback. No action.
2	Social Issues	No social issues on the estate	Positive comments. No action.
3	Type of land	No comments	Positive comments. No action.
4	Economy Livelihood impact after introduction of MSPO	Company provide free meals. Villagers from neighboring areas employed	Positive Comments. No action.
5	MSPO Benefit to local community	Yes.	Positive comments. No action.
6	Environmental & Health information	Satisfactory.	Positive comments. No action.
7	Awareness towards species, habitats, and high conservation values	No hunting of protected species.	Positive comments. No action.

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5. STATUS OF NON-CONFORMITIES/AOCS PREVIOUSLY IDENTIFIED

	The stage 1 audit findings have been reviewed, to assure appropriate corrective actions implemented to address the identified AOCs.
/	The last audit results of this system have been reviewed, to assure appropriate corrections and corrective actions have implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, to assure appropriate corrections and corrective actions have not been implemented effectively. The non-conformity will be re-raised.
	Not applicable. No non-conformity raised in previous audit.

6. DETAIL OF AUDIT FINDINGS

AUDIT RESULTS SUMMARY						
NA	AOC (Area of Concern)					
0	MAJOR Non-Conformities					
0	MINOR Non-Conformities					
2	OFI (Opportunity for Improvement)					
DESCRIPTION OF THE FINDINGS/AOC						
No	Description	Standard Clause Reference	AOC	Major NC	Minor NC	OFI
1	Sighted management updated their Legal List and for further improvement, management can include the reuqirment for Minimum Wages Order, 2022 in the Legal List.	4.3.1.2				/
2	Site visit sighted management reused the empty pesticide container, for further improvement management may record the invoentory of the reused of the pmty pesticide container.	4.5.3.1				/

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7 CONCLUSION

Pan Estate Sdn. Bhd. has commissioned United Global Green Sdn. Bhd. (UGGSB) to conduct Surveillance 3 audit for its estate according to MSPO 2530-3:2013 Part 3 General principles for Oil Palm Plantations and Organized Smallholders.

There are 0 Major NC, 0 Minor NC and 2 OFI were raised. All OFI action plans were submitted and accepted. The implementation will be reviewed and verified during next surveillance audit.

From the review of the standard operating procedures, relevant forms, work flow charts established and implemented; the subsequent background investigation and interviews conducted during this surveillance audit have provided UGG Malaysia with sufficient evidence on the fulfillment of the applied standard MS 2530-Part 3: 2013.

In conclusion the certified unit has been established, implemented, and continued to improve in managing the estates are in line with the clauses of the applied standards of MS 2530-Part 3:2013.

8 RECOMMENDATION

The audit team conduct a process-based audit focusing on significant aspects/risks and objectives required by the standard(s). The audit methods used are interviews, observations, sampling of activities, review of documentation and records.

The audit team concludes that the organization has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organization's policies and objectives.

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Therefore, the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be:

	Recommended for Certification
/	Recommended for Continuity of Certification
	Recommended for Suspension of Certification

	Prepared by	Approved by Technical Reviewer
Signature		
Name	Govindasamy a/I Munusamy	Abdul Hakim bin Mohamad
Role in Assessment	Trainee Lead Auditor	Technical Review
Date	23/11/2022	02/12/2022

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9 ATTACHMENTS

ATTACHMENT DESCRIPTION

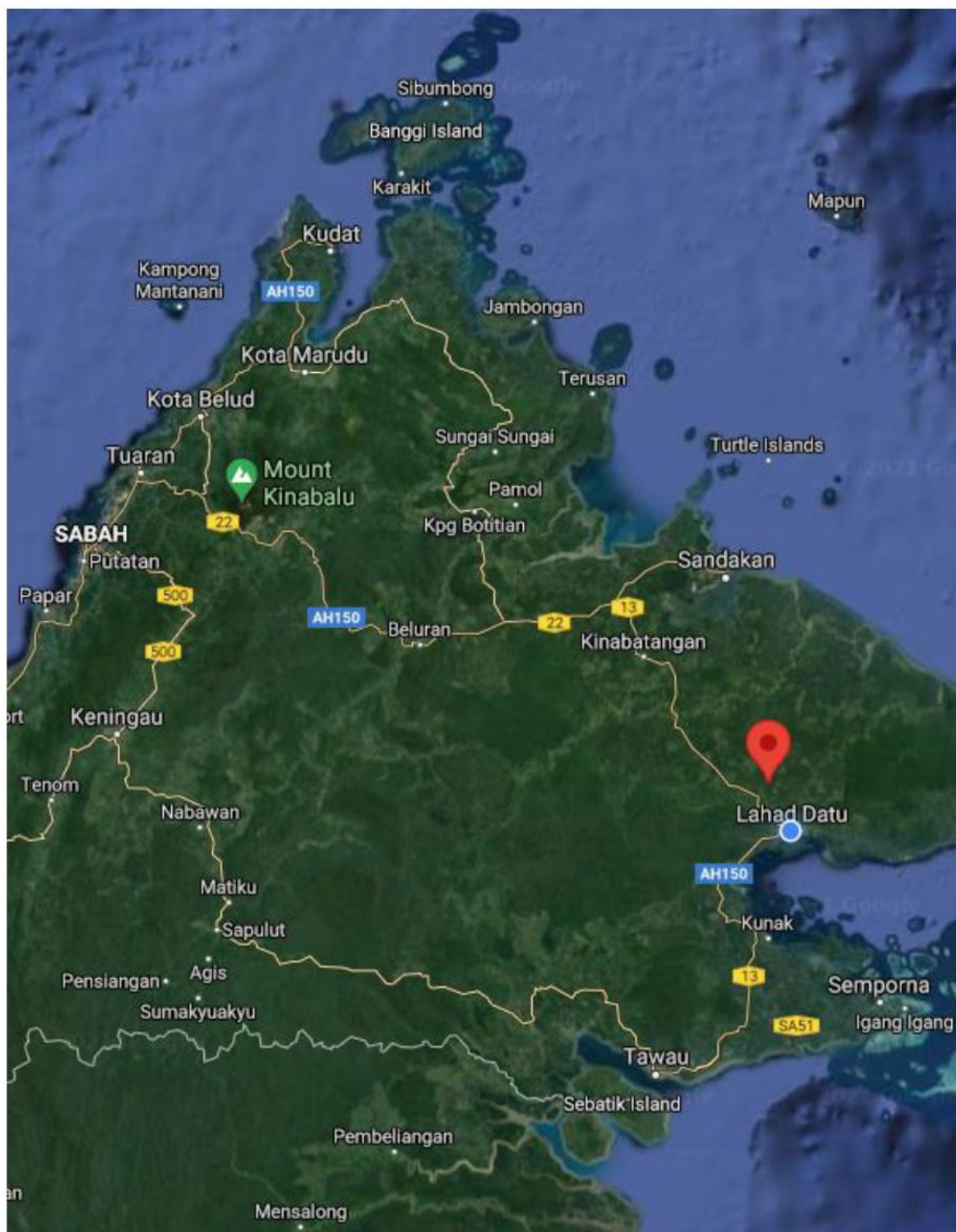
10.1 Location Map
 10.2 Estate Map
 10.3 List of Abbreviations

Statement of Confidentiality

This report is sent to the certification body or bodies, the members of the audit team and the audit representative of the organization. All documents related to the audit are treated confidentially by the Certification Body, UGGSB as well as the appointed auditors.

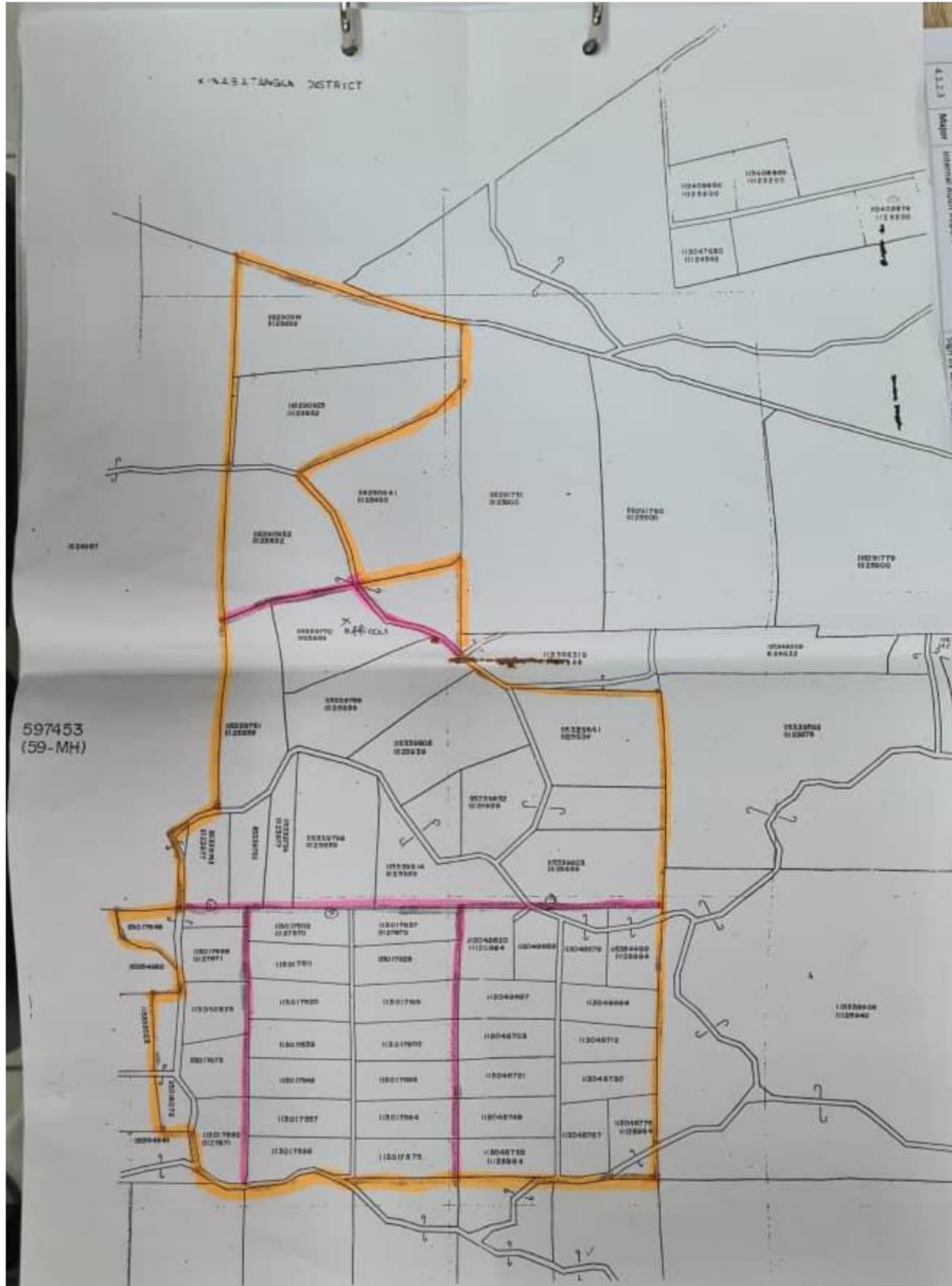
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9.1 Location Map



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9.2 Estate Map



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List of Abbreviation

UGGSB	United Global Green Sdn. Bhd.
WHO	World Health Organization
MPOB	Malaysian Palm Oil Board
MPOCC	Malaysia Palm Oil Certification Council
GAP	Good Agricultural Practice
GPS	Global Positioning System
ISCC	International Sustainability & Carbon Certification
ISO	International Standard Organization
MSPO	Malaysia Sustainable Palm Oil
DOE	Department of Environment
SOP	Standard Operating Procedure
CHRA	Chemical Health Risk Assessment
CPO	Crude Palm Oil
CSR	Corporate Social Responsibility
EFB	Empty Fruit Bunch
EIA	Environment Impact Assessment
FFB	Fresh Fruit Bunch
NC	Non-Conformity
OSH	Occupational Safety and Health
P&C	Principle and Criteria
PK	Palm Kernel
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable Sustainable Palm Oil
RTE	Rare, Threatened and Endangered Species
SA8000	Social Accountability 8000
SIA	Social Impact Assessment
SDS	Safety Data Sheet